

## Some Results on the Maximum Length of Circuits of Spread $k$ in the $d$ -Cube\*

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*Communicated by Victor Klee*

Received March 1, 1968

### ABSTRACT

A circuit code of dimension  $d$  and spread  $k$  is a simple circuit which is formed from the vertices and edges of a  $d$ -dimensional cube and which has a certain "flatness" property making it useful as an error-limiting code. In this paper  $K(d, k)$ , the length of a longest  $d$ -circuit of spread  $k$ , will be exactly determined for certain pairs  $(d, k)$ . The results will include a proof of a conjecture of R. C. Singleton [5].

### I. INTRODUCTION

Let  $I^d$  be the graph of the  $d$ -dimensional cube  $[0, 1]^d$ . That is, the vertex set of  $I^d$  is  $\{0, 1\}^d$  and two vertices are connected by an edge if and only if they differ in exactly one coordinate. A  $d$ -dimensional circuit code  $C$  of spread  $k \geq 1$  is a (simple) circuit in  $I^d$  satisfying the condition

$$d(x, y) \geq \min(d_c(x, y), k) \quad \text{for all } x, y \text{ in } C, \quad (1)$$

where  $d(x, y)[d_c(x, y)]$  is the graph-theoretic distance between  $x$  and  $y$  in  $I^d$  [in  $C$ ]; that is,  $d(x, y)$  is the number of coordinates in which  $x$  and  $y$  differ, while  $d_c(x, y)$  is the minimum number of edges in  $C$  used in going from  $x$  to  $y$ .

Such circuits are designed to introduce error detection into certain analog-to-digital conversion systems. The longer the code, the greater is the accuracy of the system; the greater the spread, the greater is the error-detection capability. Thus there is interest in determining  $K(d, k)$ , the length of a longest  $d$ -circuit of spread  $k$ . (Circuits in  $I^d$  are called  $d$ -circuits here.) In general, only upper and lower bounds for  $K(d, k)$  are known.

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\* Research supported in part by the National Science Foundation (NSF-GP-3579). This research is part of a Ph.D. thesis written at the University of Washington under the direction of Professor Victor Klee.

(See Chien, Freiman and Tang [1], Singleton [5], and Klee [4] for the best known general upper bounds, and Singleton [5] and Klee [2] for the best known general lower bounds.) However, there is a small list of pairs  $(d, k)$  for which the exact value of  $K(d, k)$  is known. Our purpose here is to add to this list and in particular to prove a conjecture of Singleton [5].

*List of Special Symbols*

$I^d$	graph or 1-skeleton of the $d$ -dimensional cube
$d(x, y)$	graph-theoretic distance, with respect to the graph of the $d$ -cube, between vertices $x$ and $y$
$d_c(x, y)$	graph-theoretic distance, with respect to the circuit $C$ , between vertices $x$ and $y$
$K(d, k)$	length of a longest circuit of spread $k$ in the graph of the $d$ -cube
$[x]$	the greatest integer less than or equal to the number $x$
$\bar{S}$	cardinality of the set $S$
$\Leftrightarrow$	is equivalent to
$\Rightarrow$	implies
$\gamma \rightarrow \delta$	the existence of $\gamma$ (in a given transition sequence) implies the existence of $\delta$ (in the same transition sequence), where $\gamma$ and $\delta$ are blocks of numbers

## II. VALUES OF $d$ AND $k$ WHERE $K(d, k)$ IS KNOWN

Only for  $k = 1$  has  $K(d, k)$  been completely determined. It is given by

$$K(d, 1) = 2^d, \quad (1)$$

asserting that  $I^d$  always admits a Hamiltonian circuit. For arbitrary values of  $k$ ,  $K(d, k)$  is known when  $d$  is "small." Specifically, Singleton [5] has proved

$$K(d, k) = 2d \quad \text{for } d < \left\lceil \frac{3k}{2} \right\rceil + 2, \quad (2)$$

conjectured that

$$K\left(\left\lceil \frac{3k}{2} \right\rceil + 2, k\right) = 4k + 6 \quad \text{for } k \text{ even}, \quad (3)$$

and stated without proof that

$$K\left(\left\lceil \frac{3k}{2} \right\rceil + 2, k\right) = 4k + 4 \quad \text{for } k \text{ odd}. \quad (4)$$

The results (3) and (4) are proved here. For  $k \leq 3$ , and for odd  $k \geq 9$ ,  $K(\lceil 3k/2 \rceil + 3, k)$  is known. In fact,

$$K(6, 2) = 26, K(7, 3) = 24, \quad (5)$$

and

$$K\left(\left[\frac{3k}{2}\right] + 3, k\right) = 4k + 8 \quad \text{for odd } k \geq 9.$$

Also

$$K(10, 5) = 28 \text{ or } 30, \quad \text{and} \quad K(13, 7) = 36 \text{ or } 38.$$

The first of these was determined by a computer search (see Davies [2]), and the others are proved here.

### III. PRELIMINARY REMARKS

In the definition of “ $d$ -circuit of spread  $k$ ,” (1) is easily seen to be equivalent to each of the following:

- (2)  $d(x, y) < k \Rightarrow d_c(x, y) = d(x, y)$  for all  $x$  and  $y$  in  $C$ ;
- (3) (i)  $d_c(x, y) \geq k \Rightarrow d(x, y) \geq k$  for all  $x$  and  $y$  in  $C$ ,  
and (ii)  $d_c(x, y) \leq k \Rightarrow d(x, y) = d_c(x, y)$  for all  $x$  and  $y$  in  $C$ .

For  $d$ -circuits of length  $\geq 2k$ ,  $3i \Rightarrow 3ii$  and therefore  $1 \Leftrightarrow 2 \Leftrightarrow 3i$ . In addition, one should note that any  $d$ -circuit  $v_0, \dots, v_L (= v_0)$  can be specified by giving the starting vertex  $v_0$  plus the *transition sequence*  $a_1, \dots, a_L$  where  $v_{i-1}$  and  $v_i$  (for  $1 \leq i \leq L$ ) differ in the  $a_i$ -th coordinate. For two  $d$ -circuits with the same transition sequence there exists an isomorphism of  $I^d$  mapping one circuit onto the other.

**REMARK.** Let  $C$  be a  $d$ -circuit of spread  $k$ . Then for  $x$  and  $y$  in  $C$ ,  $d_c(x, y) = j \Rightarrow d(x, y) = j$  for  $j \leq k + 1$ .

**PROOF:** This is immediate as if  $x$  and  $x'$  are adjacent in  $I^d$ , then  $d(x, y) = d(x', y) \pm 1$ .

This gives us the following:

**REMARK.** If  $S$  is the transition sequence for a  $d$ -circuit of spread  $k$  and length  $L$ , then: (i)  $L \geq 2k \Rightarrow$  In every block of  $k$  consecutive numbers of  $S$  all the numbers are distinct. (ii)  $L > 2k \Rightarrow$  In every block of  $k + 1$  consecutive numbers of  $S$  all the numbers are distinct.

Singleton's theorem 3 and construction 3a will be frequently used, and thus are stated here:

(S3) In a  $d$ -circuit of spread  $k$  and length  $N > 2d$ , the existence of a block of  $j \geq k + 2$  consecutive distinct transition numbers implies  $d \geq k + 1 + [j/2]$ .

(S3a) For odd  $k \geq 3$ , assume  $S$  is the transition sequence of a  $d$ -circuit of spread  $k$  and length  $N$ . Divide  $S$  into two segments of equal length. Then within each segment form successive blocks of  $(k+1)/2$  transitions, leaving an incomplete block at the end of each segment if  $k+1$  does not divide  $N$ . Alternate the new transition numbers  $d+1, \dots, d+(k+1)/2$  with the old ones in each complete block, using the new ones in the same order in each case. This construction yields a new transition sequence corresponding to a  $[d+(k+1)/2]$ -circuit of spread  $k$  and length  $N+(k+1)[N/(k+1)]$ .

#### IV. THE PROOFS OF (3), (4), AND (5)

**THEOREM 3.**  $K((3k/2)+2, k) = 4k+6$  for  $k$  even.

**PROOF:** Let  $N = 4k+6$  and  $d = (3k/2)+2$ . To see that  $K(d, k) \geq N$  apply Singleton's [5, p. 599] construction 3b to the  $(k+1)$ -circuit of spread  $k$  and length  $2(k+1)$  with  $1, 2, \dots, k+1, 1, 2, \dots, k+1$  as transition sequence. Assume there exists a  $d$ -circuit  $C$  of spread  $k$  and length  $L > N$  and consider the transition sequence  $S$  for  $C$ . We will derive a contradiction. By (S3), there does not exist a block of  $k+4$  distinct numbers in  $S$ . The theorem will be proved by considering two cases.

**CASE I.** We assume there exists a block of  $k+3$  distinct numbers in  $S$ . Let  $S$  equal

$$z_1, a_1, \dots, a_{k+3}, b_1, \dots, b_{k+1}, c_1, \dots, c_{k+1}, z_2, z_3, \dots,$$

where  $\omega_1 = \{a_1, \dots, a_{k+3}\}$  gives  $k+3$  distinct numbers. Write  $\omega_2 = \{b_1, \dots, b_{k+1}\}$  and  $\omega_3 = \{c_1, \dots, c_{k+1}\}$ ; denote by  $n_1$  and  $n_2$  the number of transitions appearing, respectively, once and twice in the combined  $\omega_1, \omega_2$  sequence; and, finally, say transition number  $a_i$  (respectively  $b_i, c_i, z_i$ ) "joins" vertex  $a'_i$  to  $a''_i$  (respectively  $b'_i, c'_i, z'_i$  to  $b''_i, c''_i, z''_i$ ). If  $j = a+3$ , then  $n_1 \geq k$ ,  $n_1 + n_2 \leq d$  and  $n_1 + 2n_2 = j + k + 1$ , whence  $2d \geq 2k + 1 + j$ . Furthermore

$$(n_1 = k \quad \text{and} \quad d = n_1 + n_2) \Leftrightarrow 2d = 2k + 1 + j \Leftrightarrow j$$

is odd and  $d = k + 1 + [j/2]$ . But the right side holds for  $j = k + 3$ , and consequently

$$n_1 = k, d = n_1 + n_2 \quad \text{and} \quad n_2 = (k/2) + 2.$$

Let  $G$  be the set of all numbers occurring twice in the  $\omega_1 \cup \omega_2$  block, and let

$H_i = \omega_i \sim G$  (for  $i = 1, 2$ ). Then the cardinality of  $G$  is  $(k/2) + 2$  and the cardinality of  $H_1 \cup H_2$  is  $k$ . Now  $d(a'_1, b''_{k+1}) = k \Rightarrow c_1 \in G \Rightarrow d(b'_1, c''_1) = k \Rightarrow c_2 \in H_1 \Rightarrow d(a'_1, c''_2) = k \Rightarrow c_3 \in G \Rightarrow d(b'_1, c''_3) = k \Rightarrow c_4 \in H_1$ . Continuing in this way we conclude that

$$\{c_1, c_3, c_5, \dots, c_{k+1}\} \subseteq G \quad \text{and} \quad \{c_2, c_4, \dots, c_k\} \subseteq H_1.$$

Then letting  $D = G \cap \omega_3$  and  $H_3 = \omega_3 \sim D$  we see that the cardinality of  $D$  is  $(k/2) + 1$  and the cardinality of  $H_3$  is  $k/2$ .

Figure 1 summarizes the above information plus some other obvious facts:

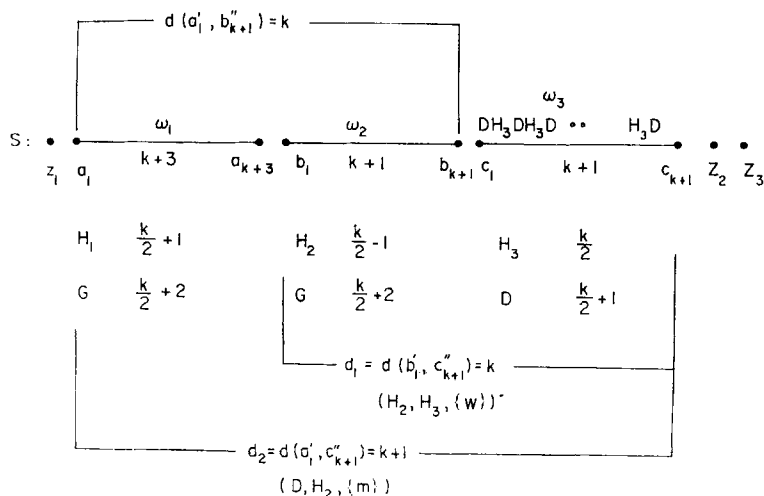
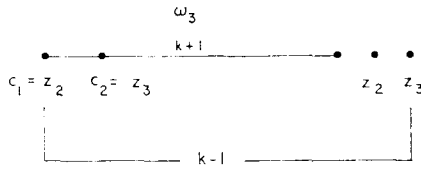


FIGURE 1

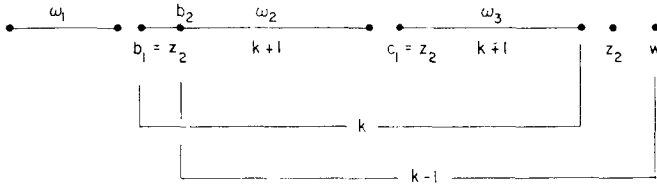
$$H_1 \cap H_2 = \phi, \quad D \subseteq G, \quad H_3 \subseteq H_1, \quad G \cap H_i = \phi \quad (i = 1, 2, 3).$$

Let  $G \sim D = \{w\}$  and  $H_1 \sim H_3 = \{m\}$ . The transitions that "contribute" (that is, occur once) to the  $d_1$  distance are those in  $H_2$ ,  $H_3$ , and  $\{w\}$ . The numbers that "contribute" to the  $d_2$  distance are those in  $D$ ,  $H_2$  and  $\{m\}$ .

We will now show that  $z_2 = m$ : Either  $z_2 = m$  or ( $z_2$  is in  $D$  and thus  $z_2 = c_1$ ). (If not, then  $d(b'_1, z''_2) = k - 1$ , which is a contradiction.) Hence  $d(a'_1, z''_2) = k$ . Then  $d(a'_1, z''_3) = k + 1$ , (otherwise  $d(a'_1, z''_3) = k - 1$ , so  $L = N$ , which is a contradiction) which proves that  $z_3 \in H_3$  or  $z_3 = w$ . Having  $z_3$  in  $H_3$  and  $z_2$  in  $D$  is impossible as then  $c_1 = z_2$  and  $c_2 = z_3$  and thus  $d(c'_1, z''_3) = k - 1$ , which is a contradiction.



So  $z_3 = w$  or  $z_2 = m$ . Now  $z_2 \in D \Rightarrow z_2 = c_1 \Rightarrow b_1 = z_2$ . Thus  $z_2 \in D \Rightarrow z_3 = w \Rightarrow d(b'_2, z'_3) = k - 1$  as  $w \in \omega_2$ .



Hence  $L < N$ , which is a contradiction, and thus  $z_2 \notin D$ , that is,  $z_2 = m$ .

There does not exist a block of  $k + 4$  distinct transitions, and so  $z_1$  is in  $G$  or  $H_1$ . Now  $z_1 \in H_1 \Rightarrow d(z'_1, b''_{k+1}) = k - 1$ , a contradiction. So  $z_1 \in G$ . Notice that  $d(a'_1, z''_2) = k$ . Then  $a_1 \in D \Rightarrow d(a'_2, z''_2) = k - 1$ , a contradiction. Either  $a_1 \in G$  or else  $d(a'_2, b''_{k+1}) = k - 1$ , a contradiction. Thus  $a_1 \in G \sim D$ , that is,  $a_1 = w$ . The fact that  $z_1$  is adjacent to  $a_1$  implies  $z_1 \neq w$  and so  $z_1 \in D$ . Thus  $d(a'_1, z''_2) = k \Rightarrow d(z'_1, z''_2) = k - 1$  and thus  $L = (k + 3) + 2(k + 1) + 2 + k + 1 = 4k + 6 = N$ , a contradiction.

*This completes Case I.*

CASE II. We assume there does not exist a block of  $k + 3$  distinct transition numbers in  $S$ .

There are  $d = \frac{3}{2}k + 2$  distinct transition numbers in  $S$  and these will be denoted by  $1, 2, 3, \dots, k + 1, x_1, \dots, x_{(k/2)+1}$ . We write  $S = a_1, a_2, \dots, a_L$  where transition number  $a_i$  "joins" vertex  $a'_i$  to vertex  $a''_i$ . There exists a  $k + 2$  block of distinct numbers in  $S$  (or else  $S = 1, 2, \dots, k + 1, 1, 2, \dots, k + 1$ ) and without loss of generality we assume  $a_1, \dots, a_{k+2}$  is such a block and is given by

$$1, x_1, 2, x_2, 3, x_3, \dots, \frac{k}{2} + 1, x_{(k/2)+1}.$$

Denote this  $k + 2$  block by " $\alpha$ ."

LEMMA 1.  $\alpha \rightarrow \alpha, x_1, (k/2) + 2$ , that is, if we have  $k + 2$  consecutive

distinct transition numbers in  $S$ , then the next number is the second one of the  $k + 2$ , and the number after that is different from any of the  $k + 2$  numbers.

PROOF: We have  $\alpha, 1$  or  $\alpha, x_1$  (that is,  $a_{k+3}$  equals 1 or  $x_1$ ) as there is no block of  $k + 3$  in  $S$ . If  $a_{k+3} = x_1$ , then  $(a_{k+4} = 1$  or else  $a_{k+4}$  is not any of the numbers  $a_1, \dots, a_{k+3}$ ). Now  $\alpha, x_1, 1 \rightarrow \alpha, x_1, 1, 2$  or  $x_2 \Rightarrow d(a'_1, a''_{k+5}) = k - 1$ , which contradicts the assumption that  $L \geq N$ . Hence having  $\alpha, x_1$  implies that without loss of generality we have  $\alpha, x_1, (k/2) + 2$ . Therefore it suffices to prove  $\alpha \rightarrow \alpha, x_1$ . Supposing that this is false, that is,  $\alpha, 1$  is the case, we will derive a contradiction. We obtain  $\alpha, 1, x_1, 2$  or  $x_2$  (which is a contradiction as then  $d(a'_1, a''_{k+5}) = k - 1$ , so  $L < N$ ) or  $\alpha, 1, 2$ . The latter holds and, since  $d(a'_1, a''_{k+4}) = k$ , without loss of generality  $\alpha, 1, 2, (k/2) + 2$  is the case. Next we have  $\alpha, 1, 2, (k/2) + 2, x_2, 3$  or  $x_3$  (a contradiction as then  $d(a'_1, a''_{k+7}) = k - 1$ ) or  $\alpha, 1, 2, (k/2) + 2, 3$ . Again the latter results, and therefore without loss of generality  $\alpha, 1, 2, (k/2) + 2, 3, (k/2) + 3$  holds. Repeating our reasoning gives  $\alpha, 1, 2, (k/2) + 2, 3, (k/2) + 3, x_3, 4$  or  $x_4$  (a contradiction as then  $d(a'_1, a''_{k+9}) = k - 1$ ) or  $\alpha, 1, 2, (k/2) + 2, 3, (k/2) + 3, 4$ , which results in the block  $\alpha, 1, 2, (k/2) + 2, 3, (k/2) + 3, 4, (k/2) + 4$ . Continuing this process we get  $a_1, \dots, a_{2k+3}$  equal to the block " $\omega$ ":

$$\alpha, 1, 2, \frac{k}{2} + 2, 3, \frac{k}{2} + 3, \dots, \frac{k}{2} + 1, k + 1.$$

Then we have  $a_L, \omega$  where  $a_L = x_{(k/2)+1}$  or  $(k/2) + 1$ . If  $k > 2$ , then  $d(a'_L, a''_{2k}) = k - 1$ , which is a contradiction. (This yields no contradiction if  $k = 2$  as then  $2k = k + 2$ .) If  $k = 2$ , then  $a_L, \omega$  must equal  $2, 1, x_1, 2, x_2, 1, 2, 3$ . An easy argument from this point will yield a contradiction to the assumption that  $L > 14$ .

*This proves Lemma 1.*

We will now repeatedly use " $\alpha \rightarrow \beta$ ". It is claimed that

$$\alpha \rightarrow \underbrace{\alpha, x_1, (k/2) + 2}_{\beta} \xrightarrow{(i)} \underbrace{\beta, x_2, (k/2) + 3}_{\gamma} \xrightarrow{(ii)} \gamma, x_3, (k/2) + 4.$$

PROOF OF (i): Apply " $\alpha \rightarrow \beta$ " to  $a_3, a_4, \dots, a_{k+4}$  to get  $\beta, x_2, y_2$  where  $y_2$  is different from any of the numbers  $a_3, \dots, a_{k+4}$ . Next apply " $\alpha \rightarrow \beta$ " to  $a_5, \dots, a_{k+6}$  to obtain  $\beta, x_2, y_2, x_3, (y_3)$ . Therefore  $y_2 = 1 \Rightarrow d(a'_1, a''_{k+7}) = k - 1 \Rightarrow L < N$ , which is a contradiction. Whence  $y_2 \neq 1$  and without loss of generality  $y_2 = (k/2) + 3$ .

PROOF OF (ii): We use the same procedure as in (i). Applying " $\alpha \rightarrow \beta$ "

to  $a_5, \dots, a_{k+6}$  we have  $\gamma, x_3, y_3$  where  $y_3$  is different from any of the numbers  $a_5, \dots, a_{k+6}$ . Applying " $\alpha \rightarrow \beta$ " again we have  $\beta, x_2, (k/2) + 3, x_3, y_3, x_4, (y_4)$ . Therefore  $y_3 = 1$  or  $2 \Rightarrow d(a'_1, a''_{k+9}) = k - 1$ , which is a contradiction, and hence without loss of generality  $\gamma, x_3, (k/2) + 4$  is the case.

Continue this use of " $\alpha \rightarrow \beta$ " to conclude that without loss of generality  $a_1, \dots, a_{2k+4}$  is equal to

$$\alpha, x_1, \frac{k}{2} + 2, x_2, \frac{k}{2} + 3, x_3, \frac{k}{2} + 4, \dots, x_{k/2}, k + 1, x_{(k/2)+1}, \zeta$$

where  $\zeta$  does not equal any number from  $a_{k+1}$  through  $a_{2k+2}$ . Let " $A$ " denote the block  $a_1, \dots, a_{2k+3}$ . Now  $\zeta \neq x_i$  for any  $i$ , and in block  $A$  we have used all  $d$  transition numbers. Thus  $\zeta \neq 1 \Rightarrow d(a'_2, a''_{2k+4}) = k - 1 \Rightarrow L < N$ , a contradiction. Therefore  $\zeta = 1$ . Apply " $\alpha \rightarrow \beta$ " to  $a_{k+3}, \dots, a_{2k+4}$  to obtain  $A, 1, (k/2) + 2, (z_2)$ . Now  $d(a'_1, a''_{2k+5}) = k - 1$ , and thus  $L = 2k + 4 + k = 3k + 4 < N$ , which is a contradiction.

*This completes Case II and hence the theorem.*

**THEOREM 4.** *If  $k$  is odd and  $d = \frac{3}{2}(k + 1)$ , then  $K(d, k) = 4k + 4$  and up to an isomorphism of  $I^d$  there is exactly one  $d$ -circuit of spread  $k$  and length  $4k + 4$ .*

**PROOF:** The theorem is true for  $k = 1$ , so assume  $k \geq 3$ .  $K(d, k) \geq 4k + 4$  is demonstrated by applying (S3a) to the  $(k + 1)$ -circuit of spread  $k$  and length  $2(k + 1)$  with  $1, 2, \dots, k + 1, 1, 2, \dots, k + 1$  as transition sequence. Let  $N = 4k + 4$  and let  $C$  be a  $d$ -circuit of spread  $k$  and length  $L \geq N$ . By (S3) there does not exist a block of  $k + 3$  distinct numbers in  $S$ , the transition sequence for  $C$ . (Singleton then says that  $L \leq N$  can be proved "by verifying" that a sequence of  $k + 2$  numbers in  $S$ , all different, can be augmented to form only a circuit of length  $N$ . We will prove in detail that  $L \leq N$ .) Suppose  $1, 2, \dots, k + 1, x_1, \dots, x_{(k+1)/2}$  are the  $d$  distinct transition numbers of  $S$ , where  $S = a_1, \dots, a_L$  and where transition number  $a_i$  "joins" vertex  $a'_i$  to vertex  $a''_i$ . Also assume without loss of generality that  $a_1, \dots, a_{k+2}$  is a block of  $k + 2$  distinct numbers given by

$$1, x_1, 2, x_2, 3, x_3, \dots, \frac{k+1}{2}, x_{(k+1)/2}, \frac{k+1}{2} + 1.$$

Denote this  $k + 2$  block by " $\alpha$ ".



We will show that up to an isomorphism of  $I^d$ ,  $S$  must be

$$\left(1, x_1, 2, x_2, \dots, \frac{k+1}{2}, x_{(k+1)/2}, \frac{k+1}{2} + 1, x_1, \right. \\ \left. \frac{k+1}{2} + 2, x_2, \frac{k+1}{2} + 3, \dots, k+1, x_{(k+1)/2} \right) R$$

where “ $R$ ” means repeat the previous transition numbers in order.

LEMMA 2.  $\alpha \rightarrow \alpha, x_1, (k+1)/2 + 2$ .

PROOF: Now see the proof of Lemma 1. As in this proof, it suffices to show that  $\alpha \rightarrow \alpha, x_1$ . Say  $\alpha \rightarrow \alpha, 1$  (the other possibility). Arguing exactly as in the proof of Lemma 1 we conclude that  $a_1, \dots, a_{2k+2}$  is equal to the block “ $\omega$ ”:

$$\alpha, 1, 2, \frac{k+1}{2} + 2, 3, \frac{k+1}{2} + 3, \dots, \frac{k+1}{2}, k+1.$$

Therefore  $a_L, \omega$  holds where  $a_L$  is either  $x_{(k+1)/2}$  or  $(k+1)/2 + 1$ . This implies  $d(a'_L, a''_{2k+1}) = k-1$ ; hence  $L = 2k+2 + k-1 = 3k+1 < N$ , which is a contradiction.

*So the lemma is proved.*

Let  $\beta = \alpha, x_1, (k+1)/2 + 2$  and as in the proof of Theorem 3, repeatedly apply “ $\alpha \rightarrow \beta$ ” to conclude that  $a_1, \dots, a_{2k+3}$  equals

$$A, \zeta : \alpha, x_1, \frac{k+1}{2} + 2, x_2, \frac{k+1}{2} + 3, x_3, \\ \frac{k+1}{2} + 4, \dots, x_{(k+1)/2-1}, k+1, x_{(k+1)/2}, \zeta$$

where  $\zeta$  is not any of the numbers from  $a_k$  through  $a_{2k+1}$ . Let “ $A$ ” denote the block  $a_1, \dots, a_{2k+2}$ . Then as in the proof of Theorem 3 we see that  $\zeta = 1$ , and so  $\alpha \rightarrow A, 1$ .

Next we apply “ $\alpha \rightarrow A, 1$ ” to  $a_{k+2}, \dots, a_{2k+3}$  to obtain

$$A, 1, x_1, b_2, x_3, b_3, \dots, x_{(k+1)/2-1}, b_{(k+1)/2}, x_{(k+1)/2}, \frac{k+1}{2} + 1,$$

where the  $b_i$  are distinct and not equal to any of the numbers  $a_{k+2}, \dots, a_{2k+3}$ . (So  $\{b_2, \dots, b_{(k+1)/2}\} \subseteq \{2, \dots, (k+1)/2\}$ .) Now apply “ $\alpha \rightarrow A, 1$ ” to  $a_3, \dots, a_{k+4}$  to obtain  $b_2 = 2$ . (We really only use the fact “ $\alpha \rightarrow \zeta = 1$ .”)

Next apply " $\alpha \rightarrow A, 1$ " to  $a_5, \dots, a_{k+6}$  to see that  $b_3 = 3$ , and keep applying " $\alpha \rightarrow A, 1$ " to get  $b_i = i$  for  $i = 2, \dots, (k+1)/2$ . We conclude that  $a_1, \dots, a_{3k+4}$  equals

$$D : A, 1, x_1, 2, x_2, \dots, \frac{k+1}{2}, x_{(k+1)/2}, \frac{k+1}{2} + 1.$$

(Note that the last  $k+2$  block of numbers in  $D$  is just the  $\alpha$  block.) Now " $\alpha \rightarrow A$ " applied to  $a_{2k+3}, \dots, a_{3k+4}$  gives  $a_1, \dots, a_{4k+4}$  equal to  $D, x_1, c_2, x_2, c_3, \dots, x_{(k+1)/2-1}, c_{(k+1)/2}, x_{(k+1)/2}$  where  $c_2, \dots, c_{(k+1)/2}$  are distinct and not equal to any numbers in  $\alpha$ . Apply " $\alpha \rightarrow \zeta = 1$ " first to  $a_{k+4}, \dots, a_{2k+5}$  to obtain  $c_2 = (k+1)/2 + 2$ , and then to  $a_{k+6}, \dots, a_{2k+7}$  to obtain  $c_3 = (k+1)/2 + 3$ . Continue this process to conclude that  $c_i = (k+1)/2 + i$  for  $i = 2, \dots, (k+1)/2$ . We have thus determined block  $a_1, \dots, a_{4k+4}$ . This is a circuit, and so  $L = N$ , proving Theorem 4.

**THEOREM 5.**  $K((3k+5)/2, k) = 4k+8$  for odd  $k \geq 9$ . Also  $K(7, 3) = 24$ ,  $K(10, 5) = 28$  or  $30$ , and  $K(13, 7) = 36$  or  $38$ .

**PROOF:** Let  $d = (3k+5)/2$  with  $k$  odd and  $\geq 5$ . First it will be proved that  $4k+8 \leq K(d, k) \leq 4k+10$ . (Notice that  $4k+9$  cannot be the length of a circuit in the  $d$ -cube as such a length must be even.)

Apply (S3a) to the  $(k+1)$ -circuit of spread  $k$  and length  $2(k+1)$  with  $1, 2, \dots, k+1, 1, 2, \dots, k+1$  as transition sequence. Then to this circuit apply Singleton's [5, p. 598] construction (1). The result is a  $d$ -circuit of spread  $k$  and length  $4k+8$ . Thus  $K(d, k) \geq 4k+8$ .

Now let  $C$  be a  $d$ -circuit of spread  $k$  and length  $L > 2d$ , and denote the transition sequence of  $C$  by " $S$ ." By (S3) there does not exist a block of  $k+5$  distinct transitions in  $S$ . There however must exist a block of  $k+2$  distinct transition numbers as  $L > 2(k+1)$ .

**CASE I.** Assume there is no  $k+3$  block in  $S$ . We will show that  $L \leq 4k+6$ . Suppose  $L > 4k+6 = N$ , let  $S = a_1, \dots, a_L$ , and say transition number  $a_i$  "joins" vertex  $a'_i$  to vertex  $a''_i$ . Let  $1, 2, \dots, k+1, x_1, \dots, x_{(k+3)/2}$  be the distinct numbers of  $S$ , and without loss of generality say  $a_1, \dots, a_{k+2}$  equals

$$\alpha : 1, x_1, 2, x_2, \dots, \frac{k+1}{2}, x_{(k+1)/2}, \frac{k+1}{2} + 1.$$

We have  $\alpha, 1$  or  $\alpha, x_1$ . Now by arguing identically as in the proof of Lemma 2 we see that without loss of generality we have  $\alpha, x_1, (k+1)/2 + 2$ . Call this block " $\beta$ ." By repeatedly using " $\alpha \rightarrow \beta$ ," and

arguing exactly as in the proof of Theorem 4 we conclude that  $a_1, \dots, a_{2k+3}$  equals

$$\alpha, x_1, \frac{k+1}{2} + 2, x_2, \frac{k+1}{2} + 3, \dots, x_{(k+1)/2-1}, k+1, x_{(k+1)/2}, \zeta$$

where in the present case  $\zeta = 1$  or  $x_{(k+3)/2}$ . Call the  $a_1, \dots, a_{2k+2}$  block "A," and apply " $\alpha \rightarrow A$ " to  $a_{k+2}, a_{k+1}, \dots, a_1$  to obtain  $a_{L-(k-1)}, a_{L-(k-2)}, \dots, a_L, a_1, \dots, a_{2k+3}$  equal to

$$x_1, y_{(k+1)/2-1}, x_2, y_{(k+1)/2-2}, x_3, \dots, y_2, x_{(k+1)/2-1}, y_1, x_{(k+1)/2}, A, \zeta$$

where no  $y_i$  is equal to any number in  $\alpha$ . Thus

$$Y = \{y_1, \dots, y_{(k+1)/2-1}\} \subseteq \left\{ \frac{k+1}{2} + 2, \frac{k+1}{2} + 3, \dots, k+1, x_{(k+3)/2} \right\}.$$

Apply " $\alpha \rightarrow A$ " to  $a_{k+2}, \dots, a_{2k+3}$  to obtain  $a_{L-(k-1)}, a_{L-(k-2)}, \dots, a_L, a_1, \dots, a_{3k+3}$  equal to

$$x_1, y_{(k+1)/2-1}, x_2, \dots, y_2, x_{(k+1)/2-1}, y_1, x_{(k+1)/2};$$

$$1, x_1, 2, x_2, \dots, \frac{k+1}{2}, x_{(k+1)/2}, \frac{k+1}{2} + 1;$$

$$x_1, \frac{k+1}{2} + 2, x_2, \frac{k+1}{2} + 3, \dots, x_{(k+1)/2-1}, k+1, x_{(k+1)/2}, \zeta;$$

$$x_1, z_1, x_2, z_2, x_3, \dots, z_{(k+1)/2-1}, x_{(k+1)/2},$$

where no  $z_i$  equals any of the numbers  $a_{k+2}, \dots, a_{2k+3}$ . Then

$$Z = \{z_1, \dots, z_{(k+1)/2-1}\} \subseteq \left\{ 1, 2, \dots, \frac{k+1}{2}, x_{(k+3)/2} \right\} \sim \{\zeta\}.$$

Let " $\gamma$ " denote the above block of  $4k+3$  numbers, and let  $T$  [respectively  $T_0$ ] equal the set of all the  $d$  numbers which occur an even ( $\geq 0$ ) [respectively odd] number of times in  $\gamma$ . If  $W$  is any set, let  $\tilde{W}$  denote the cardinality of  $W$ . Also let

$$Z' = Z \sim \{x_{(k+3)/2}\} \quad \text{and} \quad Y' = Y \sim \{x_{(k+3)/2}\}.$$

If  $\zeta = 1$ , then we have

$$\left\{ \begin{array}{l} \text{(i) } \overline{T \cap Z'} = \frac{k+1}{2} - 2 \quad \text{and} \quad x_{(k+3)/2} \in Z \\ \text{or} \\ \text{(ii) } \overline{T \cap Z'} = \frac{k+1}{2} - 1 \quad \text{and} \quad x_{(k+3)/2} \notin Z. \end{array} \right.$$

If  $\zeta = x_{(k+3)/2}$ , then (iii)  $\widetilde{T \cap Z} = \frac{k+1}{2} - 1$  and  $x_{(k+3)/2} \notin Z$ .

Finally we have

$$\left\{ \begin{array}{l} \text{(iv) } \widetilde{T \cap Y'} = \frac{k+1}{2} - 2 \text{ and } x_{(k+3)/2} \in Y \\ \text{or} \\ \text{(v) } \widetilde{T \cap Y'} = \frac{k+1}{2} - 1 \text{ and } x_{(k+3)/2} \notin Y. \end{array} \right.$$

Then if (i and iv), (i and v), (ii and iv), (iii and iv), or (iii and v) holds we have that  $\tilde{T}_0 = 3$ . But  $3 \leq k - 1$  implies  $L = 4k + 3 + 3 = 4k + 6 = N$ , which is a contradiction.

If (ii) and (v) hold, then  $\tilde{T}_0 = 1$  and thus  $L = 4k + 4 < N$ , which also is a contradiction.

*This proves Case I.*

CASE II. Assume there exists a block  $\omega_1$  of  $k + 4$  distinct numbers in  $S$ . We will show that  $L \leq 4k + 8$ . Say  $L > 4k + 8$ . Let  $S = z_1, a_1, \dots, a_{k+4}, b_1, \dots, b_{k+1}, c_1, \dots, c_{k+1}, z_2, z_3, \dots$ , where  $\omega_1 = \{a_1, \dots, a_{k+4}\}$  gives  $k + 4$  distinct numbers, and  $\omega_2 = \{b_1, \dots, b_{k+1}\}$ , and  $\omega_3 = \{c_1, \dots, c_{k+1}\}$ . Further, let  $n_1$  and  $n_2$  denote the number of transitions appearing once and twice, respectively, in the  $\omega_1 \cup \omega_2$  block. Then  $n_1 \geq k$ ,  $n_1 + n_2 \leq d$  and  $n_1 + 2n_2 = 2k + 5$ . By arguing as in the proof of Case I of Theorem 3 we conclude that

$$n_1 = k \quad \text{and} \quad d = n_1 + n_2 \quad \text{and} \quad n_2 = \frac{k+5}{2}.$$

Next let  $G$  be the set of all numbers appearing twice in the  $\omega_1 \cup \omega_2$  block and let  $H_i = \omega_i \sim G$  (for  $i = 1, 2$ ). Then

$$\tilde{G} = \frac{k+5}{2} \quad \text{and} \quad \widetilde{H_1 \cup H_2} = k.$$

By exactly the same argument as in the proof of Case I of (3) we conclude that  $c_1, c_3, \dots, c_k$  are all in  $G$  and  $c_2, c_4, \dots, c_{k+1}$  are all in  $H_1$ . Let  $D = G \cap \omega_3$  and  $H_3 = \omega_3 \sim D$ .

Figure 2 summarizes the above information plus other obvious facts:

$$H_1 \cap H_2 = \phi, \quad D \subseteq G, \quad H_3 \subseteq H_1, \quad G \cap H_i = \phi \quad (i = 1, 2, 3).$$

Write  $G \sim D = \{w, w'\}$ . If  $z_2 \in H_3$ , then  $d(c'_2, z'_2) = k - 1$ , a contradiction, and consequently  $z_2 \notin H_3$ . Thus  $z_2 \notin G \sim D \Rightarrow d(a'_1, z''_2) =$

$k - 1 \Rightarrow L = 4k + 6$ , which is a contradiction, proving that  $z_2 \in G \sim D$ . If  $z_3 \notin D \cup (H_1 \sim H_3)$ , then  $d(b'_1, z''_3) = k - 1$ , a contradiction. Hence

$$z_2 \in G \sim D \quad \text{and} \quad z_3 \in D \cup (H_1 \sim H_3).$$

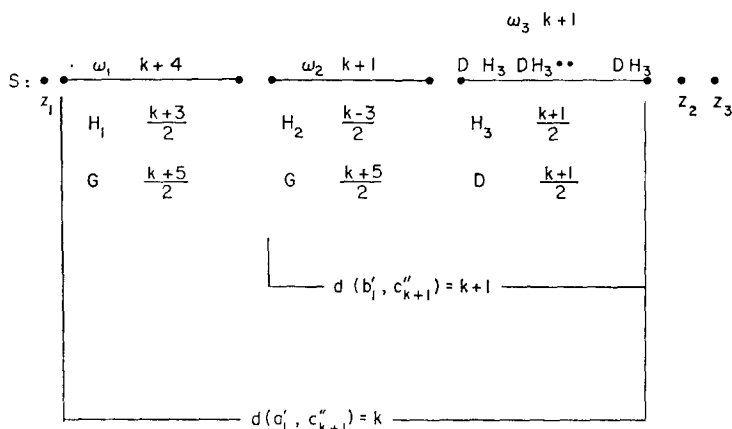


FIGURE 2

Clearly  $a_1 \in G$ . If  $a_1 \in D$ , then  $d(a'_2, c''_{k+1}) = k - 1$ , a contradiction. Therefore  $a_1 \in G \sim D$ ; say  $a_1 = w$ . Now  $z_1 \in G$  as  $d(a'_1, b''_{k+1}) = k$ , and  $z_1 \notin D$  as  $d(a'_1, c''_{k+1}) = k$ . Since  $z_1 \neq w$ , we must have  $z_1 = w'$ . Finally  $z_2 = w \Rightarrow d(a'_2, z'_3) = k - 1 \Rightarrow L = 4k + 6$ , a contradiction, and  $z_2 = w' \Rightarrow d(z'_1, z''_3) = k - 1 \Rightarrow L = 4k + 8$ , again a contradiction. This proves that  $L \leq 4k + 8$  and finishes Case II.

CASE III. Assume there does not exist a  $k + 4$  block of distinct numbers in  $S$ , but there exists a  $k + 3$  block.

We will show that  $L \leq 4k + 10$ . Say  $L \geq 4k + 10$ . Let  $S = e_1, \dots, e_4, a_1, \dots, a_{k+3}, b_1, \dots, b_{k+1}, c_1, \dots, c_{k+1}, d_1, \dots, d_{k+1}, \dots$ . Let  $\omega_1 = \{a_1, \dots, a_{k+3}\}$ , a block of  $k + 3$  distinct numbers,  $\omega_2 = \{b_1, \dots, b_{k+1}\}$ ,  $\omega_3 = \{c_1, \dots, c_{k+1}\}$ ,  $\omega_4 = \{d_1, \dots, d_{k+1}\}$ , and  $\omega_5 = \{e_1, e_2, e_3, e_4\}$ .

Denote by  $n_1$  and  $n_2$  the number of transitions appearing once and twice in the combined  $\omega_1, \omega_2$  block. Therefore

$$d = \frac{3k + 5}{2}, n_1 + 2n_2 = 2k + 4, d \geq n_1 + n_2, n_1 \geq k.$$

Write  $n_1 = k + p$  where  $p \geq 0$ . Then  $3k + 5 = 2d \geq 2n_1 + 2n_2 =$

$3k + p + 4 \Rightarrow 1 \geq p$ . Also  $n_2 = (k + 4 - p)/2$ . Since  $k$  is odd and  $k + 4 - p$  is even,  $p$  is odd and thus  $p = 1$ . So

$$n_1 = k + 1, n_2 = \frac{k + 3}{2} \quad \text{and} \quad d = n_1 + n_2.$$

Figure 3 is given for reference and will subsequently be justified:

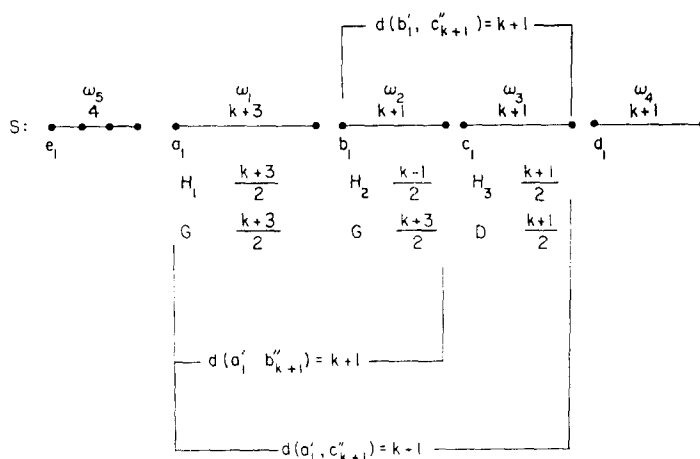


FIGURE 3

$$H_1 \cap H_2 = \phi, \quad D \subseteq G, \quad H_3 \subseteq H_1, \quad G \cap H_i = \phi \quad \text{for all } i$$

Letting  $G$  be the set of all numbers occurring twice in the  $\omega_1 \cup \omega_2$  block, and writing  $H_i = \omega_i \sim G$  ( $i = 1, 2$ ), we have that  $\tilde{G} = (k + 3)/2$ ,  $\tilde{H}_1 = (k + 3)/2$ , and  $\tilde{H}_2 = (k - 1)/2$ .

Now we will examine block  $\omega_3$ :

$$\widetilde{G \cap \omega_3} \geq \widetilde{H_1 \cap \omega_3} + \widetilde{H_2 \cap \omega_3} - 1$$

or else  $d(a'_1, c''_{k+1}) \leq k - 1$ , a contradiction.

$$\widetilde{H_1 \cap \omega_3} \geq \widetilde{G \cap \omega_3} + \widetilde{H_2 \cap \omega_3} - 1$$

or else  $d(b'_1, c''_{k+1}) \leq k - 1$ , again a contradiction. Setting  $H_3 = H_1 \cap \omega_3$ ,  $D = G \cap \omega_3$ , and  $x = \tilde{D}$  or  $\tilde{H}_3$ , we see that  $x \geq ((k + 1) - x) - 1 \Rightarrow$

$x \geq (k/2) \Rightarrow x \geq (k+1)/2$  as  $k$  is odd. Thus  $\tilde{D} \geq (k+1)/2$  and  $\tilde{H}_3 \geq (k+1)/2$ , and consequently (as  $\tilde{\omega}_3 = k+1$ ) we have equality in both cases and  $D \cup H_3 = \omega_3$ .

Now we will examine block  $\omega_4$ : Define  $H_1 \sim H_3 = \{h\}$ ,  $G \sim D = \{w\}$ , and for any set  $F$ , let  $F' = F \cap \omega_4$ .

$$(\widetilde{D \cup \{h\}})' \geq (\widetilde{H_2 \cup H_3 \cup \{w\}})' - 1$$

or else  $d(b'_1, d''_{k+1}) \leq k-1$ , a contradiction.

$$(\widetilde{H_2 \cup \{w, h\}})' \geq (\widetilde{H_3 \cup D})' - 1$$

or else  $d(c'_1, d''_{k+1}) \leq k-1$ , again a contradiction. Therefore

$$(\widetilde{D \cup \{h\}})' \geq \frac{k+1}{2} \quad \text{and} \quad (\widetilde{H_2 \cup \{w, h\}})' \geq \frac{k+1}{2}.$$

Now if  $h \notin \omega_4$ , then both these inequalities become equalities,  $\omega_4 = G \cup H_2$ ,  $d(a'_1, d''_{k+1}) = 2 \leq k-1$ , and consequently  $L = 4k+8$ , a contradiction. Suppose  $h \in \omega_4$  and  $w \notin \omega_4$ . Then  $H_2 \subseteq \omega_4$  and  $\tilde{D}' \geq (k+1)/2 - 1$ . Now  $\tilde{D}' = (k+1)/2 - 1 \Rightarrow \tilde{H}'_3 = 1 \Rightarrow d(a'_1, d''_{k+1}) = 2 \leq k-1 \Rightarrow L = 4k+8$ , a contradiction. Also  $D \subseteq \omega_4 \Rightarrow d(a'_1, d''_{k+1}) = 0 \Rightarrow L = 4k+6$ , another contradiction.

Hence  $h$  and  $w$  belong to  $\omega_4$ .

We have

$$\tilde{D}' \geq \frac{k+1}{2} - 1, \tilde{H}'_2 \geq \frac{k-1}{2} - 1, \quad \text{and} \quad \tilde{H}'_3 \leq 1.$$

Hence  $\tilde{H}'_3 = 0 \Rightarrow d(a'_1, d''_{k+1}) = 2 \Rightarrow L = 4k+8$ , a contradiction. Also  $\tilde{H}'_3 = 1 \Rightarrow d(a'_1, d''_{k+1}) = 4 \leq k-1 \Rightarrow L = 4k+10$ .

This proves that  $K(d, k) \leq 4k+10$  for odd  $k \geq 5$ .

We now assume that  $L = K(d, k) = 4k+10$ , and  $k$  is odd and  $\geq 9$ . By the above, Case III holds,

$$\{w, h\} \subseteq \omega_4, \tilde{D}' = \frac{k+1}{2} - 1, \tilde{H}'_2 = \frac{k-1}{2} - 1, \quad \text{and} \quad \tilde{H}'_3 = 1.$$

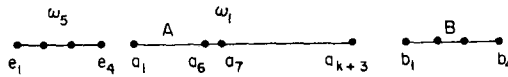
Each transition number must occur an even number of times in  $S$ . Consequently  $\omega_5 = \{w, d, h_2, h_3\}$  where  $h_i \in H_i$  and  $d \in D$ . In particular

$$\widetilde{\omega_5 \cap \omega_1} = 3.$$

Write  $A = \{a_1, \dots, a_6\}$  and  $B = \{b_1, \dots, b_4\}$ . By symmetry (proceed from

the "left" of the  $\omega_1$  block, instead of from the "right") we can conclude that

$$\widetilde{B \cap \omega_1} = 3.$$



Since in every block of  $k + 1$  transition numbers all the numbers are distinct, we have  $B \cap \omega_1 \subseteq A$ , and, since  $k \geq 9$ ,  $A \cap \omega_5 = \phi$ . Therefore  $B \cap \omega_1 \cap \omega_5 = \phi$ , and thus  $d(e'_1, b''_4) \leq k - 1$ , a contradiction.

*This proves that  $K([(3k)/2] + 3, k) = 4k + 8$  for odd  $k \geq 9$ .*

Finally let us consider  $K(7, 3)$ . Singleton [5] shows that  $K(7, 3) \geq 24$ . The bound  $K(d, 3) \leq 2^d/(d - 2)$  for  $d \geq 3$  (see Singleton [5] or Chien, Freiman, and Tang [1]) shows that  $K(7, 3) < 26$ , and hence  $K(7, 3) \leq 24$  as every circuit in the  $d$ -cube has even length.

*This completes the proof of Theorem 5.*

## V. FURTHER REMARKS

By arguments similar to Case I in the proof of Theorem 3, the following can be shown: Let  $S$  be the transition sequence of a  $d$ -circuit of spread  $k$  and length  $L$ .

(6) Assume  $k$  even,  $l$  odd  $\geq 3$ ,  $d = \frac{3}{2}k + (l + 1)/2$ , and in  $S$  there exists a  $k + l$  block of distinct transitions (equals the maximum possible). Then  $k \geq 2l - 2 \Rightarrow L \leq 4k + 3l - 1$ . (Also if  $l = 3$ , then  $L = 4k + 6$ .)

(7) Assume  $k$  odd  $\geq 3$ ,  $l$  even,  $d = \frac{3}{2}k + (l + 1)/2$ , and in  $S$  there exists a  $k + l$  block of distinct transitions (equals the maximum possible). Then  $k \geq 2l + 1 \Rightarrow L \leq 4k + 3l + 2$ . (Also if  $l = 2$ , then  $L = 4k + 4$ ; if  $l = 4$ , then  $L \leq 4k + 8$ .)

(8) Define a simple path  $P$  in  $I^d$  to have spread  $k \geq 1$  if

$$d(x, y) \geq \min(d_p(x, y), k) \quad \text{for all } x, y \text{ in } P.$$

Then, defining  $P(d, k)$  to the length of a longest simple path of spread  $k$  in  $I^d$ , the following result, analogous to (2), can be shown:

$$P(d, k) = \begin{cases} 2d - k & \text{if } k \leq d \leq \left\lceil \frac{3k}{2} \right\rceil, \\ 2d - k & \text{if } d = \left\lceil \frac{3k}{2} \right\rceil + 1 \quad \text{and } k \text{ is odd,} \\ 2d - k + 1 & \text{if } d = \left\lceil \frac{3k}{2} \right\rceil + 1 \quad \text{and } k \text{ is even.} \end{cases}$$



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